

# Comprehensive Compliance Monitoring and Enforcement Report

Report run on: May 13, 2019 - 4:28 PM

Version: 6.0

## User Selection Criteria

Location:	Region 02, all activities.
Handler ID:	NY7890008975
Evaluation Date Range:	From: 10/01/1990 To: 05/13/2019
Federal Facilities:	No, Show All Facilities
Only Evals with Violations:	No, Show All Evaluations
Extract Flag:	Include All Sites
Display Code Description:	No, Do Not Display Code Descriptions
Display Universes:	Yes, Display Universe Information
Sort Order:	Region, State, Handler Name

## Report Results

Number of Handlers: 1

Number of Pages: 13

## Report Description

This report provides a complete listing of evaluation, violation and enforcement activities for each handler, including all orphan records. Below the handler id information, the data is presented in three sections; evaluations, violations and enforcements. Notes are provided in each respective section.

# Comprehensive Compliance Monitoring and Enforcement Report

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## BROOKHAVEN NATIONAL LABORATORY

County Name / Code: SUFFOLK / NY103

NY7890008975

Location: 53 BELL AVE; UPTON, NY 11973

REGION 02

Mailing: 53 BELL AVE; UPTON, NY 11973

Activity Location:	NY	State District:	NYSDEC R1	Accessibility:		Non-Notifier:		Extract Flag:	Y	Active Site:	Y
Generator:	LQG	Operating TSDF:	---S--	Offsite Receiver:	N	State Unaddressed SNC:	N	EPA Unaddressed SNC:	N		
Short-Term Gen:	N	Transporter:	N	IC In Place:	N	State Addressed SNC:	N	EPA Addressed SNC:	N		
Full Enforcement:	---S--	Transfer Facility:	N	EI Indicator (HE / GW):	+ / +	State SNC w/Comp Sched:	N	EPA SNC w/Comp Sched:	N		
CA Wrld:	N	Converter:	-----	HSM:	NN						
Active State Gen:	N	State TSDF:	-----	Subpart K:	----						

CEI Evaluation	03/27/2019	Activity Location:	NY	By:	STATE	Identifier:	001	Person:	NYTKS	Suborganization:	R1	Found Violation:	YES
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:	03/27/2019	Focus Area:	

Violation:	Activity Location: NY	Type: XXS	Determined Date: 03/28/2019	Determined by Agency: STATE	Responsible Agency: STATE
	Scheduled Compliance Date:		Actual Compliance Date: 03/28/2019	RTC Qualifier: DOCUMENTED	Sequence Number: 5009

Citation Information:	Seq #	Type	Citation	Notes
	1	STATE REGULATION	372.2(b)(2)(i)	

Enforcement:	Activity Location: NY	Type: 120	Action Date: 04/15/2019	Identifier: 001
	Docket:	Agency: STATE	Responsible Person: NYTKS	Branch: R1
	CA Component:	FA Requirement:	Disposition Status: AS 04/15/19	Appeal Initiated:
				Appeal Resolved:

Violation:	Activity Location: NY	Type: 262.C	Determined Date: 03/27/2019	Determined by Agency: STATE	Responsible Agency: STATE
	Scheduled Compliance Date:		Actual Compliance Date: 03/27/2019	RTC Qualifier: OBSERVED	Sequence Number: 5008

Citation Information:	Seq #	Type	Citation	Notes
	1	STATE REGULATION	372.2(a)(8)(ii)	

Enforcement:	Activity Location: NY	Type: 120	Action Date: 04/15/2019	Identifier: 001
	Docket:	Agency: STATE	Responsible Person: NYTKS	Branch: R1
	CA Component:	FA Requirement:	Disposition Status: AS 04/15/19	Appeal Initiated:
				Appeal Resolved:

CEI Evaluation	04/26/2018	Activity Location:	NY	By:	EPA	Identifier:	001	Person:	R2CZ	Suborganization:	CAPSB	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:	04/26/2018	Focus Area:	

No Linked Violations

FCI Evaluation	11/30/2017	Activity Location:	NY	By:	STATE	Identifier:	001	Person:	NYCFZ	Suborganization:	R1	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:	11/30/2017	Focus Area:	CPC

Eval. Notes: closure of two 90 day storage areas bldg. 901 and 725

No Linked Violations

CEI Evaluation	09/21/2017	Activity Location:	NY	By:	EPA	Identifier:	001	Person:	R2OSK	Suborganization:	RCB	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:	09/21/2017	Focus Area:	

Eval. Notes: This was EPA's annual inspection of the Federally-owned, permitted Waste Management Facility at Brookhaven National Laboratory. Of about 2,600 employees at the 53,00-acre BNL site, only about 26 are on-site DOE employees, and only about 30 work at the Waste Management Facility. The larger (non-permitted) part of BNL includes about 20 90-day storage areas and numerous satellite accumulation areas, and some of them were also inspected during this annual TSD inspection.

No Linked Violations

Note: Penalty information associated with an enforcement action is reported with the enforcement action. For enforcement actions associated with multiple violations, the penalty information will be reported with each violation, however, the penalty is only assessed once, regardless of the number of violations associated with the enforcement.

## BROOKHAVEN NATIONAL LABORATORY, NY7890008975, UPTON, NY, continued -

CEI Evaluation	03/22/2017	Activity Location:	NY	By:	STATE	Identifier:	001	Person:	NYCFZ	Suborganization:	R1	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:	03/22/2017	Focus Area:	
Eval. Notes: TSDF													
No Linked Violations													

CEI Evaluation	07/27/2016	Activity Location:	NY	By:	EPA	Identifier:	001	Person:	R2CZ	Suborganization:	CAPSB	Found Violation:	U
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:	07/27/2016	Focus Area:	
No Linked Violations													

CEI Evaluation	09/03/2015	Activity Location:	NY	By:	EPA	Identifier:	001	Person:	R2CZ	Suborganization:	CAPSB	Found Violation:	U
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:	09/03/2015	Focus Area:	
Eval. Notes: Ronald Lockwood, CAPSB co-led the inspection with Charles Zafonte													
No Linked Violations													

CEI Evaluation	02/04/2015	Activity Location:	NY	By:	STATE	Identifier:	001	Person:	NYCFZ	Suborganization:	R1	Found Violation:	YES
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:	02/04/2015	Focus Area:	
Eval. Notes: inspected over 2 day time period with Anit Patel and Tatiana Klappas													

Violation: Activity Location: NY Type: 273.C Determined Date: 02/04/2015 Determined by Agency: STATE Responsible Agency: STATE  
 Scheduled Compliance Date: Actual Compliance Date: 02/04/2015 RTC Qualifier: OBSERVED Sequence Number: 36

Citation Information: Seq # Type Citation Notes  
 1 STATE REGULATION 374-3.3(f)

Enforcement: Activity Location: NY Type: 120 Action Date: 02/24/2015 Identifier: 001  
 Docket: Agency: STATE Responsible Person: NYCFZ Branch: R1  
 CA Component: N FA Requirement: Disposition Status: Appeal Initiated: Appeal Resolved:

Violation: Activity Location: NY Type: XXS Determined Date: 02/04/2015 Determined by Agency: STATE Responsible Agency: STATE  
 Scheduled Compliance Date: Actual Compliance Date: 02/05/2015 RTC Qualifier: DOCUMENTED Sequence Number: 37

Citation Information: Seq # Type Citation Notes  
 2 STATE REGULATION 372.2(b)(2)(iii)

Enforcement: Activity Location: NY Type: 120 Action Date: 02/24/2015 Identifier: 001  
 Docket: Agency: STATE Responsible Person: NYCFZ Branch: R1  
 CA Component: N FA Requirement: Disposition Status: Appeal Initiated: Appeal Resolved:

Violation: Activity Location: NY Type: 279.C Determined Date: 02/04/2015 Determined by Agency: STATE Responsible Agency: STATE  
 Scheduled Compliance Date: Actual Compliance Date: 02/05/2015 RTC Qualifier: OBSERVED Sequence Number: 38

Citation Information: Seq # Type Citation Notes  
 3 STATE REGULATION 374-2.3(c)(8)(i)

Enforcement: Activity Location: NY Type: 120 Action Date: 02/24/2015 Identifier: 001  
 Docket: Agency: STATE Responsible Person: NYCFZ Branch: R1  
 CA Component: N FA Requirement: Disposition Status: Appeal Initiated: Appeal Resolved:

# Comprehensive Compliance Monitoring and Enforcement Report

## BROOKHAVEN NATIONAL LABORATORY, NY7890008975, UPTON, NY, continued -

<b>Violation:</b>	Activity Location: NY	Type: 265.G	Determined Date: 02/04/2015	Determined by Agency: STATE	Responsible Agency: STATE
	Scheduled Compliance Date:		Actual Compliance Date: 03/25/2015	RTC Qualifier: DOCUMENTED	Sequence Number: 39

<b>Citation Information:</b>	Seq #	Type	Citation	Notes
	4	STATE REGULATION	373-3.7(c)(1) and (2)	

<b>Enforcement:</b>	Activity Location: NY	Type: 120	Action Date: 02/24/2015	Identifier: 001
	Docket:	Agency: STATE	Responsible Person: NYCFZ	Branch: R1
	CA Component: N	FA Requirement:	Disposition Status:	Appeal Initiated:
				Appeal Resolved:

CEI Evaluation	09/09/2014	Activity Location:	NY	By:	EPA	Identifier:	001	Person:	R2CZ	Suborganization:	CAPSB	Found Violation:	U
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:	09/09/2014	Focus Area:	

No Linked Violations

CEI Evaluation	09/04/2013	Activity Location:	NY	By:	EPA	Identifier:	001	Person:	R2OSK	Suborganization:	RCB	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:	09/04/2013	Focus Area:	

Eval. Notes: This inspection was the annual TSD inspection of this Federal facility. As a result of this inspection, it was determined that BNL is operating in compliance with its permit and the regulations.

No Linked Violations

CEI Evaluation	03/11/2013	Activity Location:	NY	By:	STATE	Identifier:	001	Person:	NYSRA	Suborganization:	R1	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:	03/11/2013	Focus Area:	

Eval. Notes: TSDF. Inspection performed by Syed Raza, Tatiana Klappas, Carl Fritz and Juzer Rasani from Central Office

No Linked Violations

CEI Evaluation	09/28/2011	Activity Location:	NY	By:	EPA	Identifier:	001	Person:	R2EJG	Suborganization:	RCB	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:	09/28/2011	Focus Area:	

No Linked Violations

CEI Evaluation	04/28/2010	Activity Location:	NY	By:	STATE	Identifier:	001	Person:	NYPDL	Suborganization:	R1	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:	04/28/2010	Focus Area:	

Eval. Notes: TSDF

No Linked Violations

CEI Evaluation	02/02/2010	Activity Location:	NY	By:	EPA	Identifier:	001	Person:	R2RV	Suborganization:	RCB	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:	02/02/2010	Focus Area:	

Eval. Notes: 2/01 - 2/02 CEI

No Linked Violations

## BROOKHAVEN NATIONAL LABORATORY, NY7890008975, UPTON, NY, continued -

FCI Evaluation 02/01/2010 Activity Location: NY By: EPA Identifier: 001 Person: R2OSK Suborganization: RCB Found Violation: NO  
 NOC Date: Citizen Complaint: NO Multimedia Inspection: NO Sampling: NO Not Subtitle C: NO Day Zero: 02/01/2010 Focus Area: DOS

Eval. Notes: BNL was targeted for this FCI because it was included in a list entitled RCRA Potential Surface Impoundments at Federal Facilities online that was received from EPA HQ. Aerial photography indicated the potential presence of surface impoundments, and we wanted to determine whether hazardous wastes might occasionally be among the inputs to the SI. In addition to two hold-up ponds in its Sewage Treatment Plant (WWTP), BNL has a number of recharge basins for stormwater and non-contact cooling water. The facility appeared to have no potential for routine or intermittent discharges of hazardous waste to the recharge basin.

No Linked Violations

CEI Evaluation 09/24/2008 Activity Location: NY By: STATE Identifier: 001 Person: NYPDL Suborganization: R1 Found Violation: YES  
 NOC Date: Citizen Complaint: NO Multimedia Inspection: NO Sampling: NO Not Subtitle C: NO Day Zero: 09/24/2008 Focus Area:

Eval. Notes: TSDF

Violation: Activity Location: NY Type: 262.C Determined Date: 09/24/2008 Determined by Agency: STATE Responsible Agency: STATE  
 Scheduled Compliance Date: Actual Compliance Date: 09/29/2008 RTC Qualifier: DOCUMENTED Sequence Number: 34

Citation Information: Seq # Type Citation Notes  
 1 STATE REGULATION 372.2(a)(8)(i)(a)

Enforcement: Activity Location: NY Type: 120 Action Date: 11/13/2008 Identifier: 001  
 Docket: Agency: STATE Responsible Person: NYPDL Branch: R1  
 CA Component: N FA Requirement: Disposition Status: AS 11/13/08 Appeal Initiated: Appeal Resolved:

Violation: Activity Location: NY Type: XXS Determined Date: 09/24/2008 Determined by Agency: STATE Responsible Agency: STATE  
 Scheduled Compliance Date: Actual Compliance Date: 09/29/2008 RTC Qualifier: DOCUMENTED Sequence Number: 35

Citation Information: Seq # Type Citation Notes  
 1 STATE REGULATION 373-1.1(d)(1)(iii) and (iv)

Enforcement: Activity Location: NY Type: 120 Action Date: 11/13/2008 Identifier: 001  
 Docket: Agency: STATE Responsible Person: NYPDL Branch: R1  
 CA Component: N FA Requirement: Disposition Status: AS 11/13/08 Appeal Initiated: Appeal Resolved:

CEI Evaluation 11/13/2006 Activity Location: NY By: STATE Identifier: 001 Person: NYAPL Suborganization: R1 Found Violation: NO  
 NOC Date: Citizen Complaint: NO Multimedia Inspection: NO Sampling: NO Not Subtitle C: NO Day Zero: 11/13/2006 Focus Area:

Eval. Notes: TSDF

No Linked Violations

CEI Evaluation 12/07/2004 Activity Location: NY By: STATE Identifier: 001 Person: NYTCA Suborganization: R1 Found Violation: YES  
 NOC Date: Citizen Complaint: NO Multimedia Inspection: NO Sampling: NO Not Subtitle C: NO Day Zero: Focus Area:

Eval. Notes: TSDF

Violation: Activity Location: NY Type: 264.A Determined Date: 12/07/2004 Determined by Agency: STATE Responsible Agency: STATE  
 Scheduled Compliance Date: Actual Compliance Date: 12/15/2004 RTC Qualifier: DOCUMENTED Sequence Number: 32

Former Citation - SR - 374-3.3(d)(4)(i)

Viol. Notes: Waste lamps stored in open container.

Enforcement: Activity Location: NY Type: 120 Action Date: 12/15/2004 Identifier: 001  
 Docket: Agency: STATE Responsible Person: NYTCA Branch: R1  
 CA Component: N FA Requirement: Disposition Status: Appeal Initiated: Appeal Resolved:

Note: Penalty information associated with an enforcement action is reported with the enforcement action. For enforcement actions associated with multiple violations, the penalty information will be reported with each violation, however, the penalty is only assessed once, regardless of the number of violations associated with the enforcement.

## BROOKHAVEN NATIONAL LABORATORY, NY7890008975, UPTON, NY, continued -

<b>Violation:</b>	Activity Location: NY	Type: 264.A	Determined Date: 12/07/2004	Determined by Agency: STATE	Responsible Agency: STATE
	Scheduled Compliance Date:		Actual Compliance Date: 12/15/2004	RTC Qualifier: DOCUMENTED	Sequence Number: 33
	Former Citation - SR - 374-3.3(e)(5)				
	Viol. Notes: No universal lamp label.				

<b>Enforcement:</b>	Activity Location: NY	Type: 120	Action Date: 12/15/2004	Identifier: 001
	Docket:	Agency: STATE	Responsible Person: NYTCA	Branch: R1
	CA Component: N	FA Requirement:	Disposition Status:	Appeal Initiated:
				Appeal Resolved:

CEI Evaluation	01/05/2004	Activity Location:	NY	By:	STATE	Identifier:	001	Person:	NYTCA	Suborganization:	R1	Found Violation:	YES
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	
Eval. Notes:	TSDF												

<b>Violation:</b>	Activity Location: NY	Type: 262.A	Determined Date: 01/05/2004	Determined by Agency: STATE	Responsible Agency: STATE
	Scheduled Compliance Date:		Actual Compliance Date: 01/29/2004	RTC Qualifier: DOCUMENTED	Sequence Number: 28
	Former Citation - SR - 372.2(a)(8)(i)(a)				
	Viol. Notes: No hazardous waste label.				

<b>Enforcement:</b>	Activity Location: NY	Type: 120	Action Date: 01/29/2004	Identifier: 001
	Docket:	Agency: STATE	Responsible Person: NYTCA	Branch: R1
	CA Component: N	FA Requirement:	Disposition Status:	Appeal Initiated:
				Appeal Resolved:

<b>Violation:</b>	Activity Location: NY	Type: 262.A	Determined Date: 01/05/2004	Determined by Agency: STATE	Responsible Agency: STATE
	Scheduled Compliance Date:		Actual Compliance Date: 01/29/2004	RTC Qualifier: DOCUMENTED	Sequence Number: 29
	Former Citation - SR - 374-3.3(e)(1)				
	Viol. Notes: No universal waste label.				

<b>Enforcement:</b>	Activity Location: NY	Type: 120	Action Date: 01/29/2004	Identifier: 001
	Docket:	Agency: STATE	Responsible Person: NYTCA	Branch: R1
	CA Component: N	FA Requirement:	Disposition Status:	Appeal Initiated:
				Appeal Resolved:

CEI Evaluation	08/28/2002	Activity Location:	NY	By:	STATE	Identifier:	001	Person:	NYKMY	Suborganization:	R1	Found Violation:	YES
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	
Eval. Notes:													

<b>Violation:</b>	Activity Location: NY	Type: 262.A	Determined Date: 08/28/2002	Determined by Agency: STATE	Responsible Agency: STATE
	Scheduled Compliance Date:		Actual Compliance Date: 09/24/2003	RTC Qualifier: DOCUMENTED	Sequence Number: 25

Former Citation - SR - 373.2(a)(8)(ii)  
Viol. Notes: Did not ship mixed waste within 90 days or less  
No Linked Enforcements

<b>Violation:</b>	Activity Location: NY	Type: 262.A	Determined Date: 08/28/2002	Determined by Agency: STATE	Responsible Agency: STATE
	Scheduled Compliance Date:		Actual Compliance Date: 10/27/2003	RTC Qualifier: UNVERIFIABLE	Sequence Number: 30

Former Citation - SR - 372.2(A)(8)(I)(a)(2)  
Viol. Notes: No hazardous waste label.

<b>Enforcement:</b>	Activity Location: NY	Type: 120	Action Date: 09/26/2003	Identifier: 001
	Docket:	Agency: STATE	Responsible Person: NYJRI	Branch: CO
	CA Component: N	FA Requirement:	Disposition Status:	Appeal Initiated:
				Appeal Resolved:

Note: Penalty information associated with an enforcement action is reported with the enforcement action. For enforcement actions associated with multiple violations, the penalty information will be reported with each violation, however, the penalty is only assessed once, regardless of the number of violations associated with the enforcement.

## BROOKHAVEN NATIONAL LABORATORY, NY7890008975, UPTON, NY, continued -

<b>Violation:</b>	Activity Location: NY	Type: 262.A	Determined Date: 08/28/2002	Determined by Agency: STATE	Responsible Agency: STATE
	Scheduled Compliance Date:		Actual Compliance Date: 10/27/2003	RTC Qualifier: UNVERIFIABLE	Sequence Number: 31
	Former Citation - SR - 373-2.5(c)Module I(H)(6)				
	Viol. Notes: No LDR for on site facility in operating record.				

<b>Enforcement:</b>	Activity Location: NY	Type: 120	Action Date: 09/26/2003	Identifier: 001
	Docket:	Agency: STATE	Responsible Person: NYJRI	Branch: CO
	CA Component: N	FA Requirement:	Disposition Status:	Appeal Initiated:
				Appeal Resolved:

CEI Evaluation	06/06/2001	Activity Location:	NY	By:	STATE	Identifier:	001	Person:	NYSSE	Suborganization:	R1	Found Violation:	YES
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	
Eval. Notes:													

<b>Violation:</b>	Activity Location: NY	Type: 262.A	Determined Date: 06/06/2001	Determined by Agency: STATE	Responsible Agency: STATE
	Scheduled Compliance Date: 08/02/2001		Actual Compliance Date: 08/02/2001	RTC Qualifier: OBSERVED	Sequence Number: 24
	Former Citation - SR - 372.2(a)(8)(i)(a)&(ii)				
	Viol. Notes:				

<b>Enforcement:</b>	Activity Location: NY	Type: 120	Action Date: 07/02/2001	Identifier: 001
	Docket:	Agency: STATE	Responsible Person: NYSSE	Branch: R1
	CA Component: N	FA Requirement:	Disposition Status:	Appeal Initiated:
				Appeal Resolved:

CEI Evaluation	06/14/2000	Activity Location:	NY	By:	STATE	Identifier:	000	Person:	NYSSE	Suborganization:	R1	Found Violation:	YES
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	
Eval. Notes:													

<b>Violation:</b>	Activity Location: NY	Type: 264.A	Determined Date: 06/14/2000	Determined by Agency: STATE	Responsible Agency: STATE
	Scheduled Compliance Date: 07/30/2000		Actual Compliance Date: 09/26/2000	RTC Qualifier: OBSERVED	Sequence Number: 22

<b>Enforcement:</b>	Activity Location: NY	Type: 120	Action Date: 06/30/2000	Identifier: 000
	Docket:	Agency: STATE	Responsible Person: NYSSE	Branch: R1
	CA Component: N	FA Requirement:	Disposition Status:	Appeal Initiated:
				Appeal Resolved:

<b>Violation:</b>	Activity Location: NY	Type: 264.E	Determined Date: 06/14/2000	Determined by Agency: STATE	Responsible Agency: STATE
	Scheduled Compliance Date: 07/30/2000		Actual Compliance Date: 09/26/2000	RTC Qualifier: OBSERVED	Sequence Number: 23

<b>Enforcement:</b>	Activity Location: NY	Type: 120	Action Date: 06/30/2000	Identifier: 000
	Docket:	Agency: STATE	Responsible Person: NYSSE	Branch: R1
	CA Component: N	FA Requirement:	Disposition Status:	Appeal Initiated:
				Appeal Resolved:

SNN Evaluation	10/05/1999	Activity Location:	NY	By:	STATE	Identifier:	SNN	Person:	NYDEC	Suborganization:		Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	

No Linked Violations

SNN Evaluation	09/20/1999	Activity Location:	NY	By:	EPA	Identifier:	001	Person:	R2CEI	Suborganization:	RCB	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	

Eval. Notes:

No Linked Violations

Note: Penalty information associated with an enforcement action is reported with the enforcement action. For enforcement actions associated with multiple violations, the penalty information will be reported with each violation, however, the penalty is only assessed once, regardless of the number of violations associated with the enforcement.



## BROOKHAVEN NATIONAL LABORATORY, NY7890008975, UPTON, NY, continued -

CEI Evaluation	06/29/1999	Activity Location:	NY	By:	STATE	Identifier:	000	Person:	NYJFA	Suborganization:	R1	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	
Eval. Notes: NO VOL TY 12/13/99													
No Linked Violations													

CEI Evaluation	06/18/1998	Activity Location:	NY	By:	STATE	Identifier:	000	Person:	NYJFA	Suborganization:	R1	Found Violation:	YES
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	

<b>Violation:</b>	Activity Location: NY	Type: 264.G	Determined Date: 11/16/1998	Determined by Agency: STATE	Responsible Agency: STATE
	Scheduled Compliance Date: 04/18/1999	Actual Compliance Date: 10/05/1999		RTC Qualifier: OBSERVED	Sequence Number: 20
Viol. Notes: REF TO DEE 11/16/98 DEE MC					

Enforcement:	Activity Location: NY	Type: 310	Action Date: 10/05/1999	Identifier: 000
	Docket:	Agency: STATE	Responsible Person: NYJFA	Branch: R1
Penalty Information:	Proposed:	Final Monetary: \$2,250	Collected: \$2,250	Total Final: \$2,250
	CA Component: N	FA Requirement:	Disposition Status:	Appeal Initiated:
				Appeal Resolved:
Enforcement:	Activity Location: NY	Type: 210	Action Date: 03/24/1999	Identifier: 000
	Docket:	Agency: STATE	Responsible Person: NYJFA	Branch: R1
Penalty Information:	Proposed: \$11,750	Final Monetary:	Collected:	Total Final:
	CA Component: N	FA Requirement:	Disposition Status:	Appeal Initiated:
				Appeal Resolved:
Enf. Notes: DEE MC				

<b>Violation:</b>	Activity Location: NY	Type: 264.A	Determined Date: 11/16/1998	Determined by Agency: STATE	Responsible Agency: STATE
	Scheduled Compliance Date: 04/18/1999	Actual Compliance Date: 10/05/1999		RTC Qualifier: OBSERVED	Sequence Number: 21
Viol. Notes: REF TO DEE 11/16/98 DEE MC					

Enforcement:	Activity Location: NY	Type: 310	Action Date: 10/05/1999	Identifier: 000
	Docket:	Agency: STATE	Responsible Person: NYJFA	Branch: R1
Penalty Information:	Proposed:	Final Monetary: \$2,250	Collected: \$2,250	Total Final: \$2,250
	CA Component: N	FA Requirement:	Disposition Status:	Appeal Initiated:
				Appeal Resolved:
Enforcement:	Activity Location: NY	Type: 210	Action Date: 03/24/1999	Identifier: 000
	Docket:	Agency: STATE	Responsible Person: NYJFA	Branch: R1
Penalty Information:	Proposed: \$11,750	Final Monetary:	Collected:	Total Final:
	CA Component: N	FA Requirement:	Disposition Status:	Appeal Initiated:
				Appeal Resolved:
Enf. Notes: DEE MC				

SNY Evaluation	06/18/1998	Activity Location:	NY	By:	STATE	Identifier:	SNC	Person:	NYDEC	Suborganization:		Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	
No Linked Violations													

CEI Evaluation	06/30/1997	Activity Location:	NY	By:	STATE	Identifier:	000	Person:	NYJFA	Suborganization:	R1	Found Violation:	YES
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	

Note: Penalty information associated with an enforcement action is reported with the enforcement action. For enforcement actions associated with multiple violations, the penalty information will be reported with each violation, however, the penalty is only assessed once, regardless of the number of violations associated with the enforcement.



## BROOKHAVEN NATIONAL LABORATORY, NY7890008975, UPTON, NY, continued -

Violation:	Activity Location: NY	Type: 264.A	Determined Date: 04/03/1998	Determined by Agency: STATE	Responsible Agency: STATE
	Scheduled Compliance Date: 05/03/1998		Actual Compliance Date: 09/17/1998	RTC Qualifier: OBSERVED	Sequence Number: 19
Enforcement:	Activity Location: NY	Type: 120	Action Date: 04/03/1998	Identifier: 000	
	Docket:	Agency: STATE	Responsible Person: NYJFA	Branch: R1	
	CA Component: N	FA Requirement:	Disposition Status:	Appeal Initiated:	Appeal Resolved:

SNY Evaluation	06/01/1997	Activity Location:	NY	By:	EPA	Identifier:	001	Person:	R2CEI	Suborganization:	RCB	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	
Eval. Notes:	No Linked Violations												

CEI Evaluation	05/05/1997	Activity Location:	NY	By:	EPA	Identifier:	000	Person:	R2BG	Suborganization:	RCB	Found Violation:	YES
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	
Eval. Notes:	WEEK LONG MULTIMEDIA INSPECTION AND START OF PROCESS EVALUATION BEING DONE IN CONJUNCTION WITH NEIC												

Violation:	Activity Location: NY	Type: 262.A	Determined Date: 10/15/1997	Determined by Agency: EPA	Responsible Agency: EPA
	Scheduled Compliance Date: 03/30/1998		Actual Compliance Date: 09/20/1999	RTC Qualifier: OBSERVED	Sequence Number: 14

Viol. Notes: FAILURE TO MAKE WASTE DETERMINATION

Enforcement:	Activity Location: NY	Type: 310	Action Date: 08/06/1999	Identifier: 000	
	Docket: II RCRA-98-0202	Agency: EPA	Responsible Person: R2BG	Branch: RCB	
Penalty Information:	Proposed:	Final Monetary: \$17,500	Collected:	Total Final: \$17,500	
	CA Component: N	FA Requirement:	Disposition Status:	Appeal Initiated:	Appeal Resolved:
Enforcement:	Activity Location: NY	Type: 210	Action Date: 02/25/1998	Identifier: 000	
	Docket: II-RCRA-98-0202	Agency: EPA	Responsible Person: R2BG	Branch: RCB	
Penalty Information:	Proposed: \$79,868	Final Monetary:	Collected:	Total Final:	
	CA Component: N	FA Requirement:	Disposition Status:	Appeal Initiated:	Appeal Resolved:

Violation:	Activity Location: NY	Type: 262.A	Determined Date: 10/15/1997	Determined by Agency: EPA	Responsible Agency: EPA
	Scheduled Compliance Date: 03/30/1998		Actual Compliance Date: 09/20/1999	RTC Qualifier: OBSERVED	Sequence Number: 15

Viol. Notes: HAZARDOUS WASTE STORAGE VIOLATIONS: SATELLITE ACCUM

Enforcement:	Activity Location: NY	Type: 310	Action Date: 08/06/1999	Identifier: 000	
	Docket: II RCRA-98-0202	Agency: EPA	Responsible Person: R2BG	Branch: RCB	
Penalty Information:	Proposed:	Final Monetary: \$17,500	Collected:	Total Final: \$17,500	
	CA Component: N	FA Requirement:	Disposition Status:	Appeal Initiated:	Appeal Resolved:
Enforcement:	Activity Location: NY	Type: 210	Action Date: 02/25/1998	Identifier: 000	
	Docket: II-RCRA-98-0202	Agency: EPA	Responsible Person: R2BG	Branch: RCB	
Penalty Information:	Proposed: \$79,868	Final Monetary:	Collected:	Total Final:	
	CA Component: N	FA Requirement:	Disposition Status:	Appeal Initiated:	Appeal Resolved:

## BROOKHAVEN NATIONAL LABORATORY, NY7890008975, UPTON, NY, continued -

<b>Violation:</b>	Activity Location: NY	Type: 262.A	Determined Date: 10/15/1997	Determined by Agency: EPA	Responsible Agency: EPA
	Scheduled Compliance Date: 03/30/1998	Actual Compliance Date: 09/20/1999		RTC Qualifier: OBSERVED	Sequence Number: 16
Viol. Notes: HAZARDOUS WASTE STORAGE VIOLATION: 90 LIMIT & LABELING REQ.					
<b>Enforcement:</b>	Activity Location: NY	Type: 310	Action Date: 08/06/1999	Identifier: 000	
	Docket: II RCRA-98-0202	Agency: EPA	Responsible Person: R2BG	Branch: RCB	
<b>Penalty Information:</b>	Proposed:	Final Monetary: \$17,500	Collected:	Total Final: \$17,500	
	CA Component: N	FA Requirement:	Disposition Status:	Appeal Initiated:	Appeal Resolved:
<b>Enforcement:</b>	Activity Location: NY	Type: 210	Action Date: 02/25/1998	Identifier: 000	
	Docket: II-RCRA-98-0202	Agency: EPA	Responsible Person: R2BG	Branch: RCB	
<b>Penalty Information:</b>	Proposed: \$79,868	Final Monetary:	Collected:	Total Final:	
	CA Component: N	FA Requirement:	Disposition Status:	Appeal Initiated:	Appeal Resolved:
<b>Violation:</b>	Activity Location: NY	Type: 262.A	Determined Date: 10/15/1997	Determined by Agency: EPA	Responsible Agency: EPA
	Scheduled Compliance Date: 03/30/1998	Actual Compliance Date: 09/20/1999		RTC Qualifier: OBSERVED	Sequence Number: 17
Viol. Notes: HAZARDOUS WASTE STORAGE VIOLATION: AISLE SPACE					
<b>Enforcement:</b>	Activity Location: NY	Type: 310	Action Date: 08/06/1999	Identifier: 000	
	Docket: II RCRA-98-0202	Agency: EPA	Responsible Person: R2BG	Branch: RCB	
<b>Penalty Information:</b>	Proposed:	Final Monetary: \$17,500	Collected:	Total Final: \$17,500	
	CA Component: N	FA Requirement:	Disposition Status:	Appeal Initiated:	Appeal Resolved:
<b>Enforcement:</b>	Activity Location: NY	Type: 210	Action Date: 02/25/1998	Identifier: 000	
	Docket: II-RCRA-98-0202	Agency: EPA	Responsible Person: R2BG	Branch: RCB	
<b>Penalty Information:</b>	Proposed: \$79,868	Final Monetary:	Collected:	Total Final:	
	CA Component: N	FA Requirement:	Disposition Status:	Appeal Initiated:	Appeal Resolved:
<b>Violation:</b>	Activity Location: NY	Type: 262.A	Determined Date: 10/15/1997	Determined by Agency: EPA	Responsible Agency: EPA
	Scheduled Compliance Date: 03/30/1998	Actual Compliance Date: 09/20/1999		RTC Qualifier: OBSERVED	Sequence Number: 18
Viol. Notes: HAZARDOUS WASTE DISPOSAL W/O A PERMIT					
<b>Enforcement:</b>	Activity Location: NY	Type: 310	Action Date: 08/06/1999	Identifier: 000	
	Docket: II RCRA-98-0202	Agency: EPA	Responsible Person: R2BG	Branch: RCB	
<b>Penalty Information:</b>	Proposed:	Final Monetary: \$17,500	Collected:	Total Final: \$17,500	
	CA Component: N	FA Requirement:	Disposition Status:	Appeal Initiated:	Appeal Resolved:
<b>Enforcement:</b>	Activity Location: NY	Type: 210	Action Date: 02/25/1998	Identifier: 000	
	Docket: II-RCRA-98-0202	Agency: EPA	Responsible Person: R2BG	Branch: RCB	
<b>Penalty Information:</b>	Proposed: \$79,868	Final Monetary:	Collected:	Total Final:	
	CA Component: N	FA Requirement:	Disposition Status:	Appeal Initiated:	Appeal Resolved:

CEI Evaluation	06/28/1996	Activity Location:	NY	By:	STATE	Identifier:	000	Person:	NYJFA	Suborganization:	R1	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	

Eval. Notes: NO VIOL TY LET 8/12/96

No Linked Violations

Note: Penalty information associated with an enforcement action is reported with the enforcement action. For enforcement actions associated with multiple violations, the penalty information will be reported with each violation, however, the penalty is only assessed once, regardless of the number of violations associated with the enforcement.

# Comprehensive Compliance Monitoring and Enforcement Report

## BROOKHAVEN NATIONAL LABORATORY, NY7890008975, UPTON, NY, continued -

CEI Evaluation	06/27/1995	Activity Location:	NY	By:	STATE	Identifier:	000	Person:	NYJFA	Suborganization:	R1	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	
Eval. Notes: CLASS 1 DROPPED TY LET 8/12/96													
No Linked Violations													

CEI Evaluation	06/22/1994	Activity Location:	NY	By:	STATE	Identifier:	000	Person:	NYJFA	Suborganization:	R1	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	
Eval. Notes: CLASS 1 DROPPED TY LET 8/12/96													
No Linked Violations													

CEI Evaluation	06/22/1993	Activity Location:	NY	By:	STATE	Identifier:	000	Person:	NYJFA	Suborganization:	R1	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	
Eval. Notes: CLASS 1 DROPPED TY LET 8/12/96													
No Linked Violations													

CEI Evaluation	06/24/1992	Activity Location:	NY	By:	STATE	Identifier:	000	Person:	NYJFA	Suborganization:	R1	Found Violation:	YES
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	
Eval. Notes: MIXED WASTE													

Violation:	Activity Location: NY	Type: 264.G	Determined Date: 02/16/1993	Determined by Agency: STATE	Responsible Agency: STATE
	Scheduled Compliance Date: 06/10/1993		Actual Compliance Date: 09/01/1993	RTC Qualifier: OBSERVED	Sequence Number: 13
Enforcement:	Activity Location: NY	Type: 120	Action Date: 02/16/1993	Identifier: 000	
	Docket:	Agency: STATE	Responsible Person: NYDS	Branch: R1	
	CA Component: N	FA Requirement:	Disposition Status:	Appeal Initiated:	Appeal Resolved:

Violation:	Activity Location: NY	Type: 268.A	Determined Date: 02/16/1993	Determined by Agency: STATE	Responsible Agency: STATE
	Scheduled Compliance Date: 03/16/1993		Actual Compliance Date: 05/26/1993	RTC Qualifier: OBSERVED	Sequence Number: 14
Enforcement:	Activity Location: NY	Type: 120	Action Date: 02/16/1993	Identifier: 000	
	Docket:	Agency: STATE	Responsible Person: NYDS	Branch: R1	
	CA Component: N	FA Requirement:	Disposition Status:	Appeal Initiated:	Appeal Resolved:

Violation:	Activity Location: NY	Type: 264.A	Determined Date: 09/21/1992	Determined by Agency: STATE	Responsible Agency: EPA
	Scheduled Compliance Date:		Actual Compliance Date: 04/23/1994	RTC Qualifier: OBSERVED	Sequence Number: 16
Enforcement:	Activity Location: NY	Type: 810	Action Date: 09/23/1992	Identifier: 000	
	Docket:	Agency: STATE	Responsible Person: NYDS	Branch: R1	
	CA Component: N	FA Requirement:	Disposition Status:	Appeal Initiated:	Appeal Resolved:

SNN Evaluation	06/24/1992	Activity Location:	NY	By:	EPA	Identifier:	CV3	Person:		Suborganization:		Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	
No Linked Violations													

CEI Evaluation	05/23/1991	Activity Location:	NY	By:	STATE	Identifier:	000	Person:	NYJFA	Suborganization:	R1	Found Violation:	YES
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:		Focus Area:	

Note: Penalty information associated with an enforcement action is reported with the enforcement action. For enforcement actions associated with multiple violations, the penalty information will be reported with each violation, however, the penalty is only assessed once, regardless of the number of violations associated with the enforcement.

## BROOKHAVEN NATIONAL LABORATORY, NY7890008975, UPTON, NY, continued -

Violation:	Activity Location: NY	Type: 264.A	Determined Date: 07/24/1991	Determined by Agency: STATE	Responsible Agency: EPA
	Scheduled Compliance Date:		Actual Compliance Date: 04/23/1994	RTC Qualifier: OBSERVED	Sequence Number: 11
	Viol. Notes: REFERRED TO DEE 7/24/91; REFERRED TO EPA 8/8/91				
Enforcement:	Activity Location: NY	Type: 810	Action Date: 08/08/1991	Identifier: 000	
	Docket:	Agency: STATE	Responsible Person: NYJFA	Branch: R1	
	CA Component: N	FA Requirement:	Disposition Status:	Appeal Initiated:	Appeal Resolved:
	Enf. Notes: REF TO EPA 8/8/91				

CEI Evaluation	03/04/1991	Activity Location:	NY	By:	EPA	Identifier:	011	Person:	R2LG	Suborganization:	Found Violation:	YES
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:	Focus Area:	

Violation:	Activity Location: NY	Type: 268.A	Determined Date: 03/04/1991	Determined by Agency: EPA	Responsible Agency: EPA
	Scheduled Compliance Date: 04/04/1991		Actual Compliance Date: 05/25/1991	RTC Qualifier: OBSERVED	Sequence Number: 12
Enforcement:	Activity Location: NY	Type: 310	Action Date: 04/23/1994	Identifier: 000	
	Docket: 02-1991-0346	Agency: EPA	Responsible Person: R2LG	Branch: RCB	
Penalty Information:	Proposed: \$680,000	Final Monetary: \$63,250	Collected:	Total Final: \$63,250	
	CA Component: N	FA Requirement:	Disposition Status:	Appeal Initiated:	Appeal Resolved:
Enforcement:	Activity Location: NY	Type: 310	Action Date: 04/23/1994	Identifier: 001	
	Docket: 02-1991-0345	Agency: EPA	Responsible Person: R2LG	Branch: RCB	
	CA Component: N	FA Requirement:	Disposition Status:	Appeal Initiated:	Appeal Resolved:
Enforcement:	Activity Location: NY	Type: 210	Action Date: 06/28/1991	Identifier: 000	
	Docket:	Agency: EPA	Responsible Person: R2LG2	Branch: RCB	
Penalty Information:	Proposed: \$682,623	Final Monetary:	Collected:	Total Final:	
	CA Component: N	FA Requirement:	Disposition Status:	Appeal Initiated:	Appeal Resolved:

Violation:	Activity Location: NY	Type: 264.A	Determined Date: 03/04/1991	Determined by Agency: EPA	Responsible Agency: EPA
	Scheduled Compliance Date: 04/04/1991		Actual Compliance Date: 06/24/1992	RTC Qualifier: OBSERVED	Sequence Number: 13
Enforcement:	Activity Location: NY	Type: 310	Action Date: 04/23/1994	Identifier: 000	
	Docket: 02-1991-0346	Agency: EPA	Responsible Person: R2LG	Branch: RCB	
Penalty Information:	Proposed: \$680,000	Final Monetary: \$63,250	Collected:	Total Final: \$63,250	
	CA Component: N	FA Requirement:	Disposition Status:	Appeal Initiated:	Appeal Resolved:
Enforcement:	Activity Location: NY	Type: 310	Action Date: 04/23/1994	Identifier: 001	
	Docket: 02-1991-0345	Agency: EPA	Responsible Person: R2LG	Branch: RCB	
	CA Component: N	FA Requirement:	Disposition Status:	Appeal Initiated:	Appeal Resolved:
Enforcement:	Activity Location: NY	Type: 210	Action Date: 06/28/1991	Identifier: 000	
	Docket:	Agency: EPA	Responsible Person: R2LG2	Branch: RCB	
Penalty Information:	Proposed: \$682,623	Final Monetary:	Collected:	Total Final:	
	CA Component: N	FA Requirement:	Disposition Status:	Appeal Initiated:	Appeal Resolved:

SNY Evaluation	03/04/1991	Activity Location:	NY	By:	EPA	Identifier:	CNV	Person:		Suborganization:	Found Violation:	NO
NOC Date:		Citizen Complaint:	NO	Multimedia Inspection:	NO	Sampling:	NO	Not Subtitle C:	NO	Day Zero:	Focus Area:	

No Linked Violations

Note: Penalty information associated with an enforcement action is reported with the enforcement action. For enforcement actions associated with multiple violations, the penalty information will be reported with each violation, however, the penalty is only assessed once, regardless of the number of violations associated with the enforcement.

Total Number of Handlers: 1

Total Number of Activity Locations: 1

\*\*\* End of Report \*\*\*